MiHIN PatientGen
Test Data for HL7 and FHIR Testing

Jeff Eastman PhD
Richard Eastman MD
Role of HINs under the ACA

- MIHIN and other HINs are charged with collecting information on quality of care of patients having certain diagnoses (eCQM)
- Data must be reported to CMS/CDC using secure messaging
- MIHIN is aggressively developing services that address these requirements
- Ideally, real patient data should be used to test these systems, but it is protected
- Synthetic patient case scenarios that are realistic can be safely used for this purpose
PatientGen

- Generates “SimPatients” for developing & testing HIN software services
- Produces realistic case histories using real US population statistics
  - Patient demographics
  - Incidence & Prevalence of health states
  - Mortality
- Patients progress through health states via encounters, interventions, procedures
- Generates a wide variety of “safe” test data for “Use Cases” ranging from:
  - Transitions of Care (admission-discharge-transfers, medication reconciliations)
  - Clinical quality measures (CQMs)
  - Public health reporting (e.g. immunizations, syndromics, reportable labs, cancer/birth defect/death notifications)
- Facilitates the transformation from volume-based to quality-based healthcare delivery and payment.
PatientGen Creates Thousands of SimPatients

- Highly Configurable:
  - Patients: name, address, gender, age, race, religion, telecom, PCP, practice, specialists & specialty organizations
  - Providers: name, address, gender, age, race, religion, telecom, NUCC specialty
  - Practices: name, address, telecom, NUCC specialty
    - Hospitals: Same as practices plus staff specialists
    - Specialty Organizations: Same as practices
  - Patient Risk Factors: diet, exercise, alcohol, smoking, drug use, promiscuity
  - Monte Carlo simulation
    - Patients age, marry, have children, divorce, get sick, get treated, get better, and decease
    - Realistic healthcare data is generated in the process
  - Any similarity to real individuals or organizations is purely coincidental and is a product of random processes
Realistic: The Challenge of “Clinical Relevance”

Simulated Patient Scenarios Must:

- Reflect real-world patient populations and care delivery practices
- Adhere to real-world clinical possibility constraints
- Contain measure-related data and events

Early simulations produced illogical combinations of random events (e.g. pregnant 8yr old males)
## Enforcing Clinical Possibility Constraints Required

Richer Models To Be Developed

<table>
<thead>
<tr>
<th>Key Elements</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sequence</strong></td>
<td>Episodes of care have a beginning and an end. Events occur in a specific order (e.g. patient experiences chest pain, before diagnosed of heart attack, before angioplasty is performed).</td>
</tr>
<tr>
<td><strong>Duration</strong></td>
<td>Activities span typical lengths of time which can be represented as a minimum and maximum, or average duration (e.g. an angioplasty procedure takes 60-90 min).</td>
</tr>
<tr>
<td><strong>Role-Activity Association</strong></td>
<td>Activities may be constrained to a specific role, via regulation or policy (e.g. diagnoses are made by physicians, advanced practice nurses, or physician assistants).</td>
</tr>
<tr>
<td><strong>Range</strong></td>
<td>Activities and events can be associated with rules or parameters (e.g. drugs have associated dosage ranges, etc.).</td>
</tr>
<tr>
<td><strong>Mutual Exclusivity</strong></td>
<td>An event may not be permitted or plausible within the presence of another event (e.g. a woman with a prior ruptured uterus at last delivery will be delivered by C Section)</td>
</tr>
<tr>
<td><strong>Likelihood of Occurrence</strong></td>
<td>Events are associated with an expected frequency (e.g. infants born full term have a high chance of survival, patients admitted for a traumatic injury are unlikely to be admitted against their will, etc.)</td>
</tr>
<tr>
<td><strong>Metadata</strong></td>
<td>Activities or events may produce, or may require specific information as metadata (e.g. patients have an associated age, gender &amp; race).</td>
</tr>
</tbody>
</table>
What PatientGen Does

- Randomly assigns a patient’s age, race/ethnicity, genome mutations and other demographics
- Randomly assigns risk factors (diet, exercise, HbA1c, smoking, drug and alcohol use, lipid values, blood pressure)
- Randomly assigns prior history/diseases (hypertension, diabetes, cancers, mutations…
- Ages the patient weekly (other periods possible)
- Couples can have children with correct mutation inheritance
- Risk factors change over time
- New diseases are acquired and patients can recover
- Existing diseases evolve, complications develop
- Patients decease
What PatientGen Does

- Creates health system encounters
  - For changes in risk factors, existing diseases, new diseases, complications
  - Health can improve or worsen (e.g. patient recovers from pneumonia, survives a stroke but dies of MI)
- Inpatient & Outpatient encounters scripted using CAT-1 event sequences embodying clinical knowledge
- Produces CAT-1 reports, HL7 events, ACRS Care Teams and FHIR Resources
Patient Gen Today

• Over 130 important conditions are modeled (50 surgeries, 31 cancers, diabetes, pregnancy complications, CHD, STDs, …).
• Incidence & prevalence are based upon national health statistics, studies, reports, and analyses.
• All 2014 EH and EP CAT-1 measure reports can be produced along with ACRS, HL7 ADT & ORU and FHIR messages.
• Encounters can be scripted using Cypress Bonnie tools.
• Populations >50k are limited only by memory (and patience).
• Standard MiHIN patient and provider personas have been coded and participate in each simulation run.
• Providers are patients too: they age, retire, decease and are replaced as needed by the hospitals and practices they serve.
## Body Systems Model Real Medical Conditions

- **Mental System**
  - Downs
  - Autism
- **Nervous System**
  - Hemorrhagic Stroke
  - Ischemic Stroke
  - Huntington’s
  - Diabetic Retinopathy
  - Macular Edema
  - Proliferative Retinopathy
  - Blindness
- **Genitourinary System**
  - STDs
  - Microalbuminuria
  - Gross Proteinuria
  - End Stage Renal Disease
- **Genome**
  - Inheritable Conditions
- **Skeletal System**
  - Lower Extremity Amputation
  - Other Surgeries
- **Cardiovascular System**
  - Venous Thromboembolism
  - Coronary Heart Disease
  - Murmur
  - Myocardial Infarction
  - Atrial Fibrillation
  - Left Ventricular Hypertrophy
- **Reproductive System**
  - Cancers
  - Eclampsia
  - Abruptio Placentae
  - Spontaneous Abortion
  - Gestational Diabetes
  - Puerprium Complications
### Tabular Risk Models Drive Conditions

<table>
<thead>
<tr>
<th>Age</th>
<th>Race</th>
<th>Male Prevalence Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>Race</td>
<td>Male Incidence Risk</td>
</tr>
<tr>
<td>Age</td>
<td></td>
<td>Male Mortality Risk</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Age</th>
<th>Race</th>
<th>Female Prevalence Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td></td>
<td>Female Incidence Risk</td>
</tr>
<tr>
<td>Age</td>
<td></td>
<td>Female Mortality Risk</td>
</tr>
</tbody>
</table>

- Prevalence risks assessed at patient creation
- Incidence risks assessed each iteration
- Risk tables can be modified by patient risk factors (e.g. promiscuity risk -> pregnancy, STDs)
- Risk factors can modify other risk factors (e.g. exercise risk -> BP, HbA1c)
- Mortality risks assessed each iteration + all-cause mortality risks determine lifespan and cause of death
Currently Generated FHIR Resources

Monte Carlo generation ensures zero Protected Health Information

- **Patient**
  - name, gender, race, ethnicity, religion, address, birthdate, telephone, identifiers (SSN-4, MiHIN common key identifier)

- **Practitioner**
  - name, gender, race, ethnicity, religion, address, birthdate, telecom, practitionerRole, identifiers (NPI, SSN-4, MiHIN common key identifier)

- **Person** (with links to Patient & Practitioner)
  - name, gender, race, ethnicity, religion, address, birthdate, telephone, identifiers, mother, father, children

- **Organization, Location**
  - name, type, address, telecom, identifiers (OID, NPI)

- **Condition**
  - patient, asserter, clinicalStatus, code, dateRecorded, onset, severity

- **Procedure**
  - subject, status, code, reasonCodeableConcept, performer, performedPeriod

- **Encounter**
  - status, class, type, patient, participant, serviceProvider, location

- **Observation**
  - subject, encounter, category (vitals, labs, risks), status, component

- **Contract**
  - subject, issued, type, subType, actor, signer, legal

- **Basic** (extensions)
  - Memberships, Electronic Services, Active Care Relationships
Why the FHIR Extensions?

- **Memberships**
  - Record membership affiliations between Patients, Providers, Organizations and parent Organizations
  - Required for IHE HPD compatibility
  - Too numerous to attach as sub-objects
- **Electronic Services**
  - Record delivery preference information for secure PHI delivery (Direct, LLP, REST, …)
  - Required for IHE HPD compatibility
  - Need to be shared by Practitioners, Organizations & Memberships
- **Active Care Relationships**
  - Model patient-provider-practice attribution from provider organizations
  - Needed to support MiHIN ADT, MedRec service notifications & Statewide Consumer Directory patient care teams
  - Too numerous to attach as sub-objects
http://52.23.235.84:8080/fhir/home

This is the home for the FHIR test server operated by Michigan Health Information Network Shared Services. This server is entirely built using HAPI-FHIR, a 100% open-source Java implementation of the FHIR specification. If you are a Java developer, you can use the HAPI-FHIR client (hapi-fhir-cli) to access this server and the web pages it displays will coach you with client code snippets to guide your exploration.

The resources on this server were generated by MiHIN's PatientGen, a Monte Carlo test data generator that produces realistic patient histories involving clinically relevant patient encounters. The generator models a simulated health care network of Providers, Practices, Hospitals, Specialty and Provider Organizations. A large population of Patients experience weekly incidence and mortality risks for many important medical conditions and procedures. Since all of the resources are produced using random methods, this database contains no PHI and may be freely accessed.

This particular database was generated by running an initial population of 3263 simulated persons (2016 patients plus 1247 practitioners) through weekly iterations beginning on 1/1/2016 and running for 60 years into the future. The simulation took over 24 hours to complete and load the FHIR resources you see on this server.

- During each iteration, each person was subjected to incidence risks for over 130 conditions and procedures. When a person acquired a condition or needed a procedure, one or more inpatient or outpatient encounters were generated. This simulation run produced almost 84k patient encounters
  - During each encounter, the patient's vital signs and laboratory results are recorded as Observations.
  - Patients have a number of risk factors that can influence the values of their vital signs over time. These are also recorded as Observations during each encounter.
  - Risk factors, vital signs and laboratory results can all influence the likelihood of the patient contracting the various conditions and procedures simulated by the generator.
  - Inpatient encounters need to access regulated health information from the patient's PCP, so FHIR Contracts record these consent directives.
- For females, one of the modeled conditions is Pregnancy and this can include a number of prenatal and neonatal complications in addition to normal delivery.
  - Upon conception, each fetus obtains a genome from its parents. This determines its gender and can propagate a number of genetic mutations that can influence future conditions.
  - Upon successful birth, each new child ages alone with the rest of the population.
Future Directions

• Encounters already scripted with Bonnie ([https://bonnie.healthit.gov](https://bonnie.healthit.gov)) measure scripts and with PatientGen’s internal scripting language to generate eCQM CAT-1 encounters for all 64 2014 EP & EH measures with many data elements
  • Communication, Device, Diagnosis, Diagnostic Study, Encounter, Intervention, Laboratory Test, Medication, Patient Characteristic, Physical Exam, Procedure, Risk Category Assessment, Substance Administration, Symptom, Transfer
  • Need to map these data elements onto FHIR Resources and emit them
  • Additional model development & scripting to support 254 PQRS, 78 HEDIS, 45 Medicaid & 43 QRS measures?
    • Only 9 measures overlap among these and eCQM
  • Open Source?
Questions?

- MiHIN HAPI-FHIR Server on AWS
  - http://52.23.235.84:8080/fhir/home (web)
  - http://52.23.235.84:8080/fhir/baseDstu2/ (REST)

- Contact Information
  - Jeff Eastman PhD – Software
    - Jeff.Eastman@mihin.org
    - +1-415-298-0023
  - Richard Eastman MD – Models
    - rcyeast@gmail.com
    - +1-530-859-1183
  - Jeff Livesay – Business
    - Jeff.Livesay@mihin.org
    - +1-517-749-1799